

QUESTIONNAIRE FOR OPENING AND MAINTAINING A BASIC ACCOUNT

FILL IN USING BLOCK CAPITALS!

Client Questionnaire

Dear Client, to ensure compliance with international standards and regulations for credit institutions, please provide the required information below. BluOr Bank AS, hereinafter referred to as the Bank, adheres to regulatory requirements, observes confidentiality, and safeguards your data.

1. Client information

1.1. Surname, name _____

1.2. Identity number and Date of Birth _____ 1.3. Country of birth _____

1.4. Series and No. of identity document _____

1.5. Permanent place of residence _____
(Address – street, building, apartment, city, country, postal code)

1.6. Correspondence address (if different from address of permanent residence) _____
(Address – street, building, apartment, city, country, postal code)

1.7. Taxpayer No. (if granted) _____ 1.8. Primary tax residence country code

1.9. Please substantiate your connection to the country of primary tax residence if it differs from your permanent residence country:

1.10. Other tax residency, if any:

Country code	1.11. Please substantiate your connection to each country of tax residence specified:
<input type="text"/> <input type="text"/>	
<input type="text"/> <input type="text"/>	
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1.12. Does the Client qualify as a PEP? No Yes

1.13. Does the Client qualify as a U.S. person? No Yes (Please fill out the U.S. taxpayer identification form)

1.14. Do you have any accounts in other credit institutions? No Yes
(please specify the names of credit institutions (if necessary, the Bank may ask the Client to submit account statements from the credit institutions concerned))

2. Information on the Client's activity and planned transactions on the account

2.1. Occupation

Salaried staff at (Please specify employer, country, job title) _____

Entrepreneur (Please specify type of activity, company name, country) _____

Self-employed person (Please specify activity types and country) _____

Student Homemaker Retiree Other _____
(Please specify)

2.2. Average monthly income, EUR _____

2.3. Information on account income

Salary Inheritance Pension, other welfare Scholarship Other _____
(Please specify)

2.4. Incoming funds are expected from

Latvia The European Union and/or Iceland, Liechtenstein, Norway, Switzerland Other countries _____
(Please specify)

2.5. Information about outgoing payments from your account

CONTINUATION

Personal needs Loan repayment Other _____
(Please specify)

2.6. Basic Account turnover (provide estimates)

	EUR per MONTH
How much do you expect to receive on your account?	
How much do you plan to deposit to your account in cash?	
How much do you plan to withdraw from your account in cash (including via ATMs)?	

2.7. Total number of payments in your account (incoming and outgoing):

Up to 20 payments 20–50 payments More than 50 payments _____
(specify approximate number)

3. Confirmation

I certify that I have read the following definitions and explanations:

* Politically exposed person (PEP):

1) **a person**, who in the Republic of Latvia, other member country or third country holds or has held a prominent public office, including a higher official of the public authority, the Head of the State administrative unit (local government), the Head of the Government, the Minister (the Deputy Minister or the Deputy of the Deputy Minister if there is such an office in the relevant country), the State Secretary or other official of high level in the government or State administrative unit (local government), a Member of Parliament or a member of similar legislation entity, a member of the management entity (board) of the political party, a Judge of the Constitutional Court, a Judge of the Supreme Court or of the court of other level (a member of the court authority), a council or board member of the Supreme Audit Institution, a council or board member of the Central Bank, an ambassador, a chargé d'affaires, a high-ranking officer of the armed forces, a council or board member of a State capital company, a Head (a director, a deputy director) and a Board member of an international organisation, or a person who holds equal position in such organisation.

2) **Family member of a PEP**: a) Spouse or equivalent. A person is considered an equivalent of a spouse only if the laws of the relevant state provide for such status; b) Child, child of a spouse or equivalent, or their spouse or equivalent; c) Parent, grandparent or grandchild; d) Brother or sister.

3) **Close associate of a PEP** — an individual known to have business or other close ties to one of the aforementioned, to be a stockholder or shareholder in the same corporation as one of the aforementioned, or an individual that is the sole owner of a legal entity known to have been established for the actual benefit of one of the aforementioned.

**** United States person** — A person meeting at least one of the following criteria: 1) The individual has citizenship or a valid residence permit (Green Card) in the U.S.; 2) The individual's tax residence country is the U.S.; 3) The individual was born in the U.S.; 4) The legal entity has a phone number with a U.S. country code; 5) The legal entity is registered in the U.S.; 6) The residential or correspondence address of the individual is in the U.S. (including a P.O. Box in the U.S.); 7) A Power of Attorney has been issued to an individual/legal entity with an address in the U.S.

3.2. I confirm that the services provided by the Bank will not be used for transactions related to criminal activity or assets, or violating sanctions/restrictions set by international organisations or individual countries, or transactions related to circumvention of transaction restrictions.

3.3. I confirm that I am the true and actual owner of the funds in my accounts, and I do not intend to do business in the interests or for the benefit of third parties.

3.4. I am aware that the Bank processes personal data of individuals in accordance with the applicable data protection laws of the Republic of Latvia and the European Union and the General Terms of Business and the Personal Data Processing Policy. Purpose of data processing: client due diligence, compliance with international and national sanction requirements. Detailed information on the Bank's Personal Data Processing Policy is available here: <https://www.bluorbank.lv/en/information-on-processing-of-personal-data>.

3.5. I confirm that the information provided herewith is complete and true, and I am aware of the liability for providing false information under the applicable Law.

3.6. I undertake to inform the Bank immediately of any changes to the information contained in the questionnaire.

4. Client

4.1. Surname, name of the Client _____ 4.2. Signature*** _____

4.3. Signed in (Country, City) _____ Date*** _____
dd/mm/yyyy

*** Document properties "Date of Signing" and "Signature" are not to be filled-in if an electronic document is prepared and signed in accordance with laws and regulations on drawing up of electronic documents and delivered to the Bank by using electronic means of communication.

5. Representative of the Bank

5.1. The Client's username in the Internet Bank

5.2. The Client's Digipass No.

5.3. Surname, name _____

5.4. Signature _____

Date _____ L.S.
dd/mm/yyyy