

## QUESTIONNAIRE FOR A DOMESTIC INDIVIDUAL

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Dear Client,

Following the laws and regulations governing the activities of credit institutions in the Republic of Latvia, as well as international standards, we kindly request that you provide the necessary information. BluOr Bank AS, hereinafter referred to as the Bank, ensures compliance with regulatory requirements and guarantees confidentiality and non-disclosure of your data.

### 1. Client information

1.1. Surname \_\_\_\_\_ 1.2. Name \_\_\_\_\_ 1.3. Personal identity number \_\_\_\_\_

1.4. Date of birth \_\_\_\_\_ 1.5. Citizenship (country that issued your passport) \_\_\_\_\_  
dd/mm/yyyy

1.6. Residence permit (country of issuance, if any): \_\_\_\_\_

1.7. Declared address: Street \_\_\_\_\_ House number/name \_\_\_\_\_ Apartment \_\_\_\_\_

City/Municipality \_\_\_\_\_ Postal code \_\_\_\_\_ Country \_\_\_\_\_

1.8. Actual residential address (if different from the declared address): Street \_\_\_\_\_ House number/name \_\_\_\_\_ Apartment \_\_\_\_\_

City/Municipality \_\_\_\_\_ Postal code \_\_\_\_\_ Country \_\_\_\_\_

1.9. Other address (if applicable): Street \_\_\_\_\_ House number/name \_\_\_\_\_ Apartment \_\_\_\_\_

City/Municipality \_\_\_\_\_ Postal code \_\_\_\_\_ Country \_\_\_\_\_

1.10. Primary country of tax residence \_\_\_\_\_, taxpayer ID No. \_\_\_\_\_

1.11. Other countries of tax residence (if any):

Country	Taxpayer Identification Number

1.12. Explanations regarding tax residence and/or addresses (if any):

1.13. Are you a U.S.-related person? No Yes (complete the U.S. Taxpayer Status Determination Form)

1.14. Are you a politically exposed person (PEP), a PEP family member, or a person closely associated with a PEP?

No Yes, PEP Yes, PEP family member Yes, Close associate of PEP

### 2. Client's occupation and planned transactions on the account

#### 2.1. Occupation

Salaried employee at \_\_\_\_\_  
(specify company name, job title)

Entrepreneur \_\_\_\_\_  
(specify company name, registration No.)

Self-employed person \_\_\_\_\_  
(specify activity type, registration No.)

Student Pensioner Other \_\_\_\_\_  
(please specify)

#### 2.2. Average monthly income, EUR

(complete if over EUR 50,000)

### 2.3. Information on incoming funds

Salary \_\_\_\_\_ Loans \_\_\_\_\_ Inheritance \_\_\_\_\_ Pension and/or benefits \_\_\_\_\_ Scholarship \_\_\_\_\_

Dividends/interest \_\_\_\_\_  
(specify company name and relationship, source of interest payments)

Income from rental of personal property \_\_\_\_\_  
(specify type and location of property)

Income from transactions with movable/immovable property, sale of assets \_\_\_\_\_  
(specify type of transaction)

Other \_\_\_\_\_  
(please specify)

### 2.4. Incoming funds are expected from:

Countries of the European Economic Area (EEA) \_\_\_\_\_ Other countries  
(please specify) \_\_\_\_\_

### 2.5. Are you planning transfers of financial instruments?

No \_\_\_\_\_ Yes \_\_\_\_\_ (specify value in EUR) Transfer is planned from a Latvian credit/financial institution \_\_\_\_\_

Transfer is planned from a foreign credit/financial institution \_\_\_\_\_

### 2.6. Information on outgoing payments?

Household and personal expenses \_\_\_\_\_ Loan repayment \_\_\_\_\_ Other  
(Please specify) \_\_\_\_\_

### 2.7. Outgoing payments are planned to:

Countries of the European Economic Area (EEA) \_\_\_\_\_ Other countries  
(please specify) \_\_\_\_\_

### 2.8. MONTHLY Current Account turnover

Total number of payments in your account (incoming+outgoing):

Up to 20 payments \_\_\_\_\_ 20-50 payments \_\_\_\_\_ More than 50 payments \_\_\_\_\_  
(specify approximate number)

Turnover of funds on the Client's account	Up to EUR 15,000.00	From EUR 15,000.01 to EUR 50,000.00	EUR 50,000.01 and above <small>(specify the sum)</small>
Planned maximum turnover of incoming payments, including the maximum amount of a single incoming payment			_____ EUR
Planned maximum turnover of outgoing payments, including the maximum amount of a single outgoing payment			_____ EUR
Planned maximum volume of cash deposits, including ATM cash deposits	_____ EUR		
Planned maximum volume of cash withdrawals, including ATM cash withdrawals	_____ EUR		

## 3. Information about cooperation with the Bank

### 3.1. Are you a director or owner of a company serviced by the Bank?

(Director or owner (entrepreneur) is defined as an individual who, according to the company register of the relevant country, is the owner, beneficial owner, authorised representative, member of the board or council, or proxy of a current Bank client (legal entity))

No \_\_\_\_\_ Yes \_\_\_\_\_  
(please specify the company registration number)

## 4. Confirmation

4.1. I confirm that:

- I am the true and actual owner of the funds in my accounts and do not intend to conduct transactions on behalf of or for the benefit of third parties;
- The Current Account, as well as my other accounts with the Bank and the services provided by the Bank, will not be used for transactions related to illegal activities or for funds obtained through unlawful or criminal activities;
- The activities and transactions conducted on the Current Account and my other accounts will not violate sanctions, restrictions, or prohibitions on transactions established by the Republic of Latvia and/or international organisations;
- I am informed that the Bank processes my data and transfers it to the State Revenue Service in accordance with the laws of the Republic of Latvia and international information exchange regulations (FATCA, OECD CRS);
- I have disclosed all countries of which I am a tax resident;
- The information provided in this questionnaire and in the documents submitted to the Bank is complete and accurate; I acknowledge that I am liable under applicable laws for providing false information. I undertake to promptly notify the Bank in writing of any changes to the information contained in this questionnaire;
- I have familiarized myself with the terms used in this questionnaire and their explanations, available at: <https://www.bluorbank.lv/en/definitions> and in the General Terms of Business.

4.2. I agree that the Bank has the right to verify the accuracy of the information provided and to request from me additional information and documents confirming the information stated in the questionnaire, including documents and information about me and my transactions. I undertake to promptly provide all necessary documents and information upon the Bank's request.

4.3. I confirm and agree that, if the questionnaire is completed interactively in the electronic environment provided by the Bank — in the Client's Cabinet, where specific actions are performed (i.e., a checkbox is selected), it shall be considered as Consent and an Electronic Signature in accordance with the General Terms of Business.

## 5. Client<sup>1</sup>

5.1. Surname, name \_\_\_\_\_

5.2. Signature \_\_\_\_\_ 5.3. Code calculator (Digipass) key or Blue KEY (S) \_\_\_\_\_

5.4. Place of signature \_\_\_\_\_ Date \_\_\_\_\_  
(country, city) dd/mm/yyyy

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## 6. Representative of the Bank<sup>1</sup>

6.1. Surname, name \_\_\_\_\_ 6.2. Signature \_\_\_\_\_

Date \_\_\_\_\_  
dd/mm/yyyy

L.S.

<sup>1</sup> Ignore this section if the document has been prepared in accordance with the applicable laws and regulations on the execution of electronic documents and signed with a secure electronic signature, or the application has been completed interactively in the environment provided by the Bank – in the Client's Cabinet. If the document is signed with the authentication tool provided by the Bank, please **complete** the following fields in the "Client" section: "Surname, Name", "Code calculator (Digipass) key or Blue KEY (S)", "Date".